Second law protection theorem for Lorentz-violating black holes

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R. Benkel, J. Bhattacharyya, JL, D. Mattingly and T. P. Sotiriou, PRD (2018) P. Ezra, JL and W. Smith, in preparation







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Plan

1. Einstein gravity Penrose process

- Splitting, collisions, tether...
- 2. Covariant Lorentz violation
 - Einstein-æther
- 3. Lorentz-violating Penrose process
 - Spherical symmetry
 - Splitting
- 4. Results
 - Energy extraction admission theorem

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- Energy extraction no-go theorem
- 5. Upshots

1. Einstein gravity Penrose process

Rotating black hole

Splitting version Penrose and Floyd 1971

- 1. Drop in shuttle
 - + payload (waste)
- 2. Eject payload in ergoregion, *against* the rotation
- 3. Collect shuttle, extract energy from velocity

Extracted energy $> m_{waste}c^2$



Picture: Misner, Thorne and Wheeler 1973

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- Energy budget drawn at infinity
- Comes from rotational energy \rightarrow Laws of BH mechanics...



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- Energy budget drawn at infinity
- Comes from rotational energy \rightarrow Laws of BH mechanics...
- Exists for $|J|/M^2 > 2/(\sqrt{2} + 1)$ Fayos Valles and Llanta Salleras 1991 (and only for?)
- Collision version more efficient Wald 1974,...



Picture: Misner, Thorne and Wheeler 1973

Einstein gravity Penrose process (cont'd)

Tether version Penrose 1969

- 1. Lower payload (waste) to ergoregion by a tether
- 2. Extract energy from pull on the tether

Extracted energy $> m_{waste}c^2$



Picture: Penrose 1969

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- Tether's net contribution to energy budget assumed negligible
 - → Ongoing debate... Marolf and Sorkin 2002 A. R. Brown 2013

Today: no tethers!



Picture: Penrose 1969

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Fundamental Jacobson and Mattingly 2001,... or effective Hořava 2009,...

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Dynamical fields:

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$$g_{ab}^{(A)}$$
 (-+++)

•
$$u^a$$
 with $u_a u^a = -1$ (æther)

 \Rightarrow Distinguished timelike direction at each point

Fundamental Jacobson and Mattingly 2001,... or effective Hořava 2009,...

Dynamical fields:

$$g_{ab}^{(B)} = -u_a u_b + c^{-2} \left(g_{ab}^{(A)} + u_a u_b \right) \qquad (-+++) \text{ but faster!}$$

$$c > 1$$



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Excitations:

A-fields: hyperbolic in $g_{ab}^{(A)}$

B-fields: hyperbolic in $g_{ab}^{(B)}$

Local interactions



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particles: geodesic A-fields: hyperbolic in $g_{ab}^{(A)}$ particles: geodesic B-fields: hyperbolic in $g_{ab}^{(B)}$

Local interactions \rightarrow **Collisions** conserving 4-momentum



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Local interactions

 \rightarrow **Collisions** conserving 4-momentum (1-form)

3. Lorentz-violating black hole

 $g_{ab}^{(A)}$:

- static, spherically symmetric, asymptotically flat
- $\chi^{\rm a}$ Killing, asymptotically Minkowski ∂_t at infinity
- future A-horizon: $\chi_a \chi^a$ changes sign



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- regular on A-horizon
 - \Rightarrow A-horizon not an event horizon in $g_{ab}^{(B)}$



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cf Eling et al 2007

Radial motion (by assumption)

- Σ (*A* or *B*) dropped from infinity
- $\Sigma \rightarrow A + B$ split in ergoregion
- *B*-ejectum escapes to infinity



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Killing energy at infinity?

Iff $-k_a^A \chi^a < 0$, Killing energy at infinity increases \Rightarrow End point of energy extraction?



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Killing energy at infinity?

- Iff $-k_a^A \chi^a < 0$, Killing energy at infinity increases
 - \Rightarrow End point of energy extraction?
 - \Rightarrow Perpetual motion?
 - \Rightarrow Violation of 2nd law of BH thermodynamics?!?

cf Eling et al 2007, Jacobson and Wall 2010, Dubovsky and Sibiryakov 2006

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 - ⇒ Violation of 2nd law of BH thermodynamics?!? cf Eling et al 2007, Jacobson and Wall 2010, Dubovsky and Sibiryakov 2006

For which $(g_{ab}^{(A)}, u^a)$ does the process exist?

4. Results

1. Energy extraction admission theorem

For any $g_{ab}^{(A)}$, the process exists for some u^a



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Construction:

- Σ : massive A
- B-ejectum massless



• At splitting event, make u^a point to the **left** of v^a by sufficiently large relative *A*-velocity $(> c^{-1})$ cf Eling et al 2007

4. Results

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Construction:

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Does this happen for 'reasonable' field equations?

4. Results (cont'd)

2. Energy extraction no-go theorem

$$-g_{ab}^{(B)}\chi^a\chi^b < 1 \tag{1}$$

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in exterior \cup ergosurface \cup ergoregion, the process does not exist.

4. Results (cont'd)

2. Energy extraction no-go theorem If

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Comments

- Physics of (1): $-g_{00}^{(B)} < 1 \Rightarrow B$ -gravity attractive
- (1) implies $-g^{(A)}_{ab}\chi^a\chi^b < 1 \Rightarrow A$ -gravity attractive too
- (1) holds in **all** known Einstein-æther and Hořava solutions, analytic and numerical
- Might (1) necessarily follow from (reasonable) field equations?

4. Results (cont'd)

2. Energy extraction no-go theorem If

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in $\textbf{exterior} \cup \textbf{ergosurface} \cup \textbf{ergoregion},$ the process does not exist.

Comments

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Proof: conceptually straightforward

5. Upshots

No-go theorem for Penrose splitting processes in spherically symmetric black holes without local Lorentz symmetry

- Strong despite the limitations (e.g. radial motion)
 - \Rightarrow no perpetual motion
 - \Rightarrow no violation of 2nd law of thermodynamics

Nonradial motion generalisation:

Exists under additional assumptions about the area-radius
 Paul Ezra, JL and William Smith (in preparation)

Conjecture:

► If field equations allow -g^(B)_{ab} χ^a χ^b < 1 to be violated and energy extraction to occur, there must be new charges at infinity



Happy birthday Jurek!

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